

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

KOHRS ET AL.

Examiner:

UNKNOWN

Serial No.:

10/807,463

Group Art Unit:

UNKNOWN

Filed:

MARCH 23, 2004

Docket:

6683.22USC3

Due Date:

JUNE 23, 2004

Title:

LORDOTIC SPINAL IMPLANT

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450 on June 23, 2004.

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

Transmittal Sheet in duplicate containing Certificate of Mailing

☐ Information Disclosure Statement and Form 1449

Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C. P.O. Box 2903, Minneapolis, MN 55402-0903 612.332.5300

Name: Karen A. Fitzsimmons

Reg. No.: 50,470 KFitzsimmons:PLSkaw 10/807,463 PATENT

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INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

23552
PATENT TRADEMARK OFFICE

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2) and § 1.98(d), copies have been provided only for those items listed on the enclosed Form 1449 that have not previously been cited by or submitted to the U.S. Patent and Trademark Office in parent applications, U.S. Serial No. 10/273,706 filed on October 16, 2002 now U.S. Patent No. 6,740,091; U.S. Serial No. 09/686,922 filed on October 11, 2000; U.S. Serial No. 09/036,165 filed on March 6, 1998 now U.S. Patent No. 6,165,219; and U.S. Serial No. 08/812,791 filed on March 6, 1997. Because this application was filed after June 30, 2003, a copy of U.S. Patent No. 6,740,091 listed on the enclosed Form 1449 is not provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C. P.O. Box 2903 Minneapolis, Minnesota 55402-0903 (612) 332-5300

Date: <u>June 23</u>, 2004

Karen A. Fitzsimmons

Reg. No. 50,470 KAF:PLSkaw Date Mailed: JUNE 23, 2004 Sheet 1 of 3

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INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:	Application Number:
6683.22USC3	10/807,463

Applicant: KOHRS ET AL.

Filing Date: 03/23/2004 Group Art Unit: UNKNOWN

		U	I.S. PATENT DOCUME	ENTS		
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	57,124	08/1866	Gregg			
	2,388,022	12/1943	Tucker et al.			
	3,850,158	11/26/1974	Elias et al.			
	4,743,256	05/10/1988	Brantigan			
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	4,961,740	10/09/1990	Ray et al.			
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	5,098,435	03/24/1992	Stednitz et al.			
	5,147,402	09/15/1992	Bohler et al.			
	5,425,772	06/20/1995	Brantigan			
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	5,458,638	10/17/1995	Kuslich et al.			
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	5,669,909	09/23/1997	Zdeblick et al.			, ja .
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	5,749,916	05/12/1998	Richelsoph			
	5,766,252	06/16/1998	Henry et al.			

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

Date Mailed: JUNE 23, 2004 Sheet 2 of 3

FORM 1449* INFORMATION DISCLOSURE STATEMENT	Docket Number: 6683.22USC3	Application Number: 10/807,463	
IN AN APPLICATION	Applicant: KOHRS ET AL.		
(Use several sheets if necessary)	Filing Date: 03/23/2004	Group Art Unit: UNKNOWN	

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	5,769,897	06/23/1998	Härle				
	5,782,919	07/21/1998	Zdeblick et al.				
	5,797,909	08/25/1998	Michelson				
	5,865,847	02/02/1999	Kohrs et al.				
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		FOR	EIGN PATENT DOCUM	1ENTS.			
.,	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
						YES	NO
	0 646 366 A1	04/05/1995	EPO				
	0 734 703 A2	10/02/1996	EPO				
	WO 91/06261	05/16/1991	PCT				
	WO 95/22946	08/31/1995	РСТ				
	WO 95/31947	11//30/1995	PCT				
	WO 96/27345	09/12/1996	PCT				

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

Date Mailed: JUNE 23, 2004 Sheet 3 of 3

FORM 1449* INFORMATION DISCLOSURE STATEMENT	Docket Number: 6683.22USC3	Application Number: 10/807,463		
IN AN APPLICATION	Applicant: KOHRS ET AL.			
(Use several sheets if necessary)	Filing Date: 03/23/2004	Group Art Unit: UNKNOWN		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
Publication entitled Ray Cervical Threaded Fusion Cage of Surgical Dynamics, Concord, California, dated 1994
Publication entitled Varlock of Biomat, dated November 1994
Mark Bernhardt, "Normal Spinal Anatomy: Normal Sagittal Plan Alignment," <u>The Textbook of Spinal Surgery, Second Edition</u> , Chapter 16 (pp. 184-191), Birdwell editors, Lippincott-Raven Publishers, 1997

23552
PATENT TRADEMARK OFFICE

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